IPE			41006	#8 094 C-P-2	307		
APR 0 8 1995	Under the Paperwork Reduction Act valid OMB control number.		Approved f Patent and Trademark Offic ns are required to respond to a co	PTO/SB/21 (12-97) for use through 9/30/00. OMB 0551-0031 ee: U.S. DEPARTMENT OF COMMERCE collection of information unless it displays a	ト <sup>スナチ</sup> /		
PROPERTY TRADEMA			Application Number	08/454,921			
	TRANSMITT	AL	Filing Date	May 31, 1995			
	FORM		First Named Inventor	David Hitz			
	(to be used for all correspondence afte	r initial filing)	Group Art Unit	2307			
			Examiner Name	Paul R. Lintz			
	Total Number of Pages in This Submi	ssion	Attorney Docket Number	NET-005	İ		
. [		ENCLOS	SURES (check all that app	oly)			
	Fee Transmittal Form		nent Papers Application)	After Allowance Communication to Group			
•	Fee Attached	Drawing	g(s)	Appeal Communication to Board of Appeals and Interferences			
	Amendment / Response	Licensin	ng-related Papers	Appeal Communication to Group			
	After Final	Petition and Acc	Routing Slip (PTO/SB/69) companying Petition	Proprietary Information			
	Affidavits/declaration(s)	To Conv	vert a onal Application	Status Letter			
	Extension of Time Request	Power of Change	of Attorney, Revocation of Correspondence	Additional Enclosure(s) (please identify below):			
	Express Abandonment Request	Address Termina	s al Disclaimer	EPO Appl. EP 0 497 067 A			
		Small E	ntity Statement	EPO Appl. EP 0 462 917 A EPO Appl. EP 0 569 313 A IBM Tech Discl 36:03 03/93	3		
	Information Disclosure Statement	Reques	st for Refund	PTO-1449	`		
	Certified Copy of Priority Document(s)	Remarks			ָ <u></u>		
	Response to Missing Parts/ Incomplete Application			APR II	3		
	Response to Missing Parts under 37 CFR			28 6 1			
	1.52 or 1.53			98	<b>5</b>		
,	SIGNATU	RE OF APPLI	CANT, ATTORNEY, OR	AGENT			
	Firm or Individual name  The Law Offices of Steven A. Swernofsky						
	Signature Skeen Swern Fokey Box, Na 33,040						
	Date April 6, 1998						
1		CERTIFICA	ATE OF MAILING		1		
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:							
	Timed ou michael a mai						

Signature

Date April 6 1998

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Signature

PTO/SB/17 (12-97)
Approved for use through 9/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Deposit Account

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Apr. 6, 1998 User ID

perwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## FEE TRANSMITTAL

Note: Effective October 1, 1997. Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 130.00

Complete if Known				
Application Number	08/454,921			
Filing Date	May 31, 1995			
First Named Inventor	David Hitz			
Group Art Unit	2307			
Examiner Name	Paul R. Lintz			
Attorney Docket Number	NET-005			

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)				
1 The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES				
Deposit	Large Entity Small Entity Fee Fee Fee Code (\$) Code (\$)  105 130 205 65 Surcharge - late filing fee or oath	Fee Paid			
Account Number	105 130 205 65 Surcharge - late filing fee or oath	R C			
Deposit Account Name Steven A. Swernofsky Law Offices	127 50 227 25 Surcharge - late provisional filing fee or cover sheet.	<u> </u>			
Charge Any Additional Fee Required Under 37 CFR 1.18 at the Mailing of the	139 130 139 130 Non-English specification	器回			
37 CFR 1.16 and 1.17 Notice of Allowance	147 2,520 147 2,520 For filing a request for reexamination	<del>-</del> -			
2. X Payment Enclosed:	112 920° 112 920° Requesting publication of SIR prior to Examiner action				
Check Order Other	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action				
FEE CALCULATION	115 110 215 55 Extension for reply within first month				
TEE GALGOLATION	116 400 216 200 Extension for reply within second month				
1. FILING FEE	117 950 217 475 Extension for reply within third month				
Large Entity Small Entity	118 1,510 218 755 Extension for reply within fourth month				
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	128 2,060 228 1,030 Extension for reply within fifth month				
101 790 201 395 Utility filing fee	119 310 219 155 Notice of Appeal				
106 330 206 165 Design filing fee	120 310 220 155 Filing a brief in support of an appeal				
107 540 207 270 Plant filing fee	121 270 221 135 Request for oral hearing				
108 790 208 395 Reissue filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding				
114 150 214 75 Provisional filing fee	140 110 240 55 Petition to revive - unavoidable				
SUBTOTAL (1) (\$)	141 1,320 241 660 Petition to revive - unintentional				
(, (4)	142 1,320 242 660 Utility issue fee (or reissue)				
2. CLAIMS Fee from Fee Paid	143 450 243 225 Design issue fee				
Total Claims -20 = X =	144 670 244 335 Plant issue fee	<del></del>			
Independent - 3 = X = =	122 (130) 122 130 Petitions to the Commissioner	130.00			
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Large Entity Small Entity	126 240 126 240 Submission of Information Disclosure Stmt				
Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	581 40 581 40 Recording each patent assignment per property (times number of properties)	•			
103 22 203 11 Claims in excess of 20	146 790 246 395 Filing a submission after final rejection				
102 82 202 41 Independent claims in excess of 3	(37 CFR 1.129(a)) 149 790 249 395 For each additional invention to be				
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109 82 209 41 Reissue independent claims over original patent	Other fee (specify)				
110 22 210 11 Reissue claims in excess of 20 and over original patent	Other fee (specify)				
SUBTOTAL (2) (\$)	Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 13	30.00			
SUBMITTED BY	Complete (if applica	bie)			
Typed or					
Printed Name Steven A. Swernofsky	Reg. Number 3	3.040			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete.) Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David Hitz, Michael Malcolm, James Lau & Byron Rakitzis

Serial No.

08/454,921

Filed:

May 31, 1995

For:

Method for Maintaining Consistent

States of a File System and for Creating User-Accessible Read-Only Copies of a File System (as

Amended)

Art Unit: 2307

Examiner: Paul R. Lintz

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to:

> Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

April 6, 1998

Date

JbJo Zawawi

## INFORMATION DISCLOSURE STATEMEN

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Dear Sir:

It is requested that the document(s) on the enclosed form be made of record.

## Part I (Authority)

This statement is filed pursuant to:

( ) 37 C.F.R. § 1.97(b). This information disclosure statement is filed either (1) within three months of the filing date of the national applications; (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or (3) before the mailing date of a first office action on the merits, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

( ) 37 C.F.R. § 1.97(c).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97(b), but before the mailing date of either (1) a final action under 37 C.F.R. § 1.113 or (2) a notice of allowance under 37 C.F.R. § 1.311.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) (\$210), or a certification according to 37 C.F.R. § 1.97(e).

(X) 37 C.F.R. § 1.97(d). This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97(c).

Accordingly, this information disclosure statement requires the petition fee specified in 37 C.F.R. § 1.17(i)(1) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) (\$130), a certification according to 37 C.F.R. § 1.97(e), and a petition requesting consideration of the information disclosure statement.

#### **Conditional Petition**

It is respectfully requested that this information disclosure statement be considered, good cause being presented in Part III herein (certification). please treat this paper as the required petition.

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record.

To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

### Part II (Payment)

Please charge any additional required fee or credit any overpayment to our Deposit Account No. 500365. An additional copy of this information disclosure statement is enclosed.

A check is enclosed as indicated:

( ) No fee is due.

- () The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed (\$210).
- (X) The petition fee specified in 37 C.F.R. § 1.17(i)(1) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) is enclosed (\$130).

#### Part III (Certification)

Pursuant to 37 C.F.R. § 1.97(e), I certify:

- ( ) No certification is necessary.
- () (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
  - () The "communication from a foreign patent office" referred to in the certification is an International Search Report, possibly issued by the U.S. Patent and Trademark Office in its capacity as an International Search Authority or International Preliminary Examining Authority.
  - () The "counterpart foreign application" referred to in the certification corresponds to an ancestor or descendent application of the application for which this information disclosure statement is filed.
- (X) (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

## Part IV (Additional Statement)

An additional statement regarding these items of information () is, (X) is not, enclosed.

Copies of the cited art (X) are enclosed, () are of record in parent application Serial No. \_\_\_\_\_ and will be provided if the Examiner deems it convenient.

Respectfully submitted,

Dated: April 6, 1998

Steven A. Swernofsky Reg. No. 33,040

The Law Offices of Steven A. Swernofsky P.O. Box 390013 Mountain View, CA 94039-0013 (650) 947-0700

## **Art Cited**

# **United States Patent Numbers:**

# Foreign Patent Documents:

EPO Appln. EP 0 497 067 A EPO Appln. EP 0 569 313 A

EPO Appln. EP 0 462 917 A IBM Technical Disclosure

Bulletin, Vol. 36, No. 03,

March 1993